1	In re Application of	1 :	
	Application Number	r	Filed
	<u>の名/、分の 7 ら</u> Group Art Unit	Examiner	=
sistant Commissioner for Patents ashington, DC 20231	<u></u>	Pa	per No
reby request access unden 37 CFR 1. Intified ABANDONED application, which	.14(a)(3)(iv) to the appli h is: (CHECK ONE)	cation file reco	rd of the above-
(A) referred to in United States Pater (B) referred to in an application that is Application No.	t Number 5733	ion ac cat forth	in 27 CED 4:44 :
(A) referred to in United States Pater. (B) referred to in an application that it Application No. paper number	s open to public inspect	ion as set forti	on page
(A) referred to in United States Pater (B) referred to in an application that to Application No. paper number (C) an application that claims the ben inspection, i.e., Application No	it Number5733 s open to public inspect , filed nerit of the filing date of	en application	n in 37 CFR 1.11, i. on page c
(A) referred to in United States Pater (B) referred to in an application that it Application No. paper number (C) an application that claims the ben inspection, i.e., Application No. (D) an application in which the application to the public.	ant has filed an authorize	en application filed	n in 37 CFR 1.11, i.e on page c that is open to publication c ten the complete
(A) referred to in United States Pater (B) referred to in an application that it Application No. paper number (C) an application that claims the ben inspection, i.e., Application No. (D) an application in which the application to the public.	at Number 5 7 3 3 s open to public inspect filed filed and the filing date of and has filed an authorizant has filed an authorizant files request to the	en application filed	n in 37 CFR 1.11, i.e on page c that is open to publication c ten the complete
(A) referred to in United States Pater (B) referred to in an application that is Application No. paper number (C) an application that claims the ben inspection, i.e., Application No. (D) an application in which the application to the public. application to the public.	at Number 5 7 3 3 s open to public inspect filed filed and the filing date of and has filed an authorizant has filed an authorizant files request to the	en application filed	n in 37 CFR 1.11, i.e on page c that is open to publication c ten the complete
(A) referred to in United States Pater (B) referred to in an application that it Application No. paper number (C) an application that claims the ben inspection, i.e., Application No. (D) an application in which the application to the public. ase direct any correspondence conce	at Number	ion as set forti	that is open to publicate the complete complete complete.
(A) referred to in United States Pater (B) referred to in an application that it Application No. paper number (C) an application that claims the ben inspection, i.e., Application No. (D) an application in which the application to the public. ase direct any correspondence conce	at Number	en application filed	that is open to publicate the complete complete complete.
(A) referred to in United States Pater (B) referred to in an application that it Application No. paper number (C) an application that claims the ben inspection, i.e., Application No. (D) an application in which the application to the public. ase direct any correspondence conce	at Number	ion as set forti	that is open to publicate the complete complete complete.
(A) referred to in United States Pater (B) referred to in an application that it Application No. paper number (C) an application that claims the ben inspection, i.e., Application No. (D) an application in which the application to the public. ase direct any correspondence conce	at Number	ion as set forti	n in 37 CFR 1.11, i.i. on page
(A) referred to in United States Pater (B) referred to in an application that is Application No. paper number (C) an application that claims the ben inspection, i.e., Application No. (D) an application in which the application to the public. ase direct any correspondence concessed in the state of the public state of the p	at Number	an application filed ration to lay on following add	n in 37 CFR 1.11, i.i. on page

4

Burden Hour Statement: This form is a stimuted to cuto 0.2 hours to complete. Time will vary depending upon the needs of the individual Annual Committee of the control of the second of the control of the second of the control of th

06/16/98

COMPUTER-AIDED PROBABILITY BASE CALLING FOR ARRAYS OF NUCLEIC ACID PROBES ON CHIPS

GOVERNMENT RIGHTS NOTICE

Portions of the material in this specification arose under to cooperative agreement 70NANB5H1031 between dfymetrix, Inc. and the Department of Commerce through to National Institute of Standards and Technology.

COPYRIGHT NOTICE

A portion of the disciosure of this patent document ontains material which is subject to copyright protection. The copyright womer has no objection to the zero-tographic 15 production by anyone of the patent document or the patent isclosure in exactly the form it appears in the Patent and prademark Office patent file or records, but otherwise inverse all copyright rights whatsovers.

SOFTWARE APPENDIX

A Software Appendix comprising twenty one (21) sheets included herewith.

BACKGROUND OF THE INVENTION

The present invention relates to the field of computer ystems. More specifically, the present invention relates to computer systems for evaluating and comparing biological equences.

Devices and computer systems for forming and using trays of material on a substrate are known. For example, CT application WOS2/10888, incorporated herein by refreace for all purposes, describes techniques for requencing as equence checking nucleic acids and other materials, trays for performing these operations may be formed in crays according to the methods of, for example, the pidmetrig retachique disclosed in US. Part No. 5.148.8, both incorponated herein by reference for all purposes.

According to one aspect of the techniques described as feed as a sarry of nucleic acid peches is sistricated at crown locations on a chip or substrate. A fluorescently absold nucleic acid is then through into contact with the right and a scanner generates as image file (also called a cell to find its action peches in the contact with the right and a scanner generates as image file calculated a cell account to the chip. Based upon the Image file and identifies count of the chip. Based upon the Image file and identifies a file per cell and the contact of the period and the contact of the contact of the contact of the period of the contact of the

Innovative computer-sided techniques for base calling are sidectioned in U.S. patent application Scr. No. 08/327-255. Which is incorporated by reference for all purposes. However, improved computer systems and methods are still occoded to evaluate, analyze, and process the vest amount of information pow used and made available by these pioneering technologies.

SUMMARY OF THE INVENTION

An improved computer-aided system for calling unknown bases in sample nucleic acid sequences from multiple 65 nucleic acid probe intensities is disclosed. The present invention is able to call bases with extremely high accuracy

(up to 98.5%). At the same time, confidence information may be provided that indicates the likelihood that the base has been called correctly. The methods of the present invention are robust and uniformly optimal regardless of the

5 experimental conditions.
According to one aspect of the invention, a computer system is used to identify an unknown base in a sample nucled exid sequence by the steps of inputting a plurality of hybridization probe intensities, each of the probe intensities corresponding to a nucleic scale probe; for each of the plurality of probe intensities, determining a probability that the corresponding nucleic acid probe bett hybridizes with the sample nucleic scid sequence; and calling the unknown bear according to the nucleic acid probe with the highest

associated probability.

According to another aspect of the invention, as unknown base in a sample suckle acid requence is called by a base call with the highest probability of correctly calling the unknown base. The unknown base in the sample nucleic acid sequence is identified by the report of inpuring multiple base caulter than the sunner of the sample nucleic acid sequence is disentated by the represents a confidence that the unknown base is called correctly selecting a base call that has a highest associated probability, and calling the unknown base can see the sequence of the s

and proce legam.

Another is per another aspect of the invention, an Another is per another aspect of the invention and another is called according to multiple base calls; that collectively have the highest probability of cornectly calling the unknown base. The unknown base in the sample mucheir add sequence is catch got the state of the call probabilities for each possible base for the unknown base, each of the base is not expended as a product of probabilities for each possible base; producing a product of probabilities for each possible base; and calling the unknown base according to a base associated with a highest product. The multiple base calls are typically produced from multiple experiments may be performed on the state calls are typically produced from multiple experiments.

According to another aspect of the invention, both strands of a DNA molecule are analyzed to increase the accuracy of identifying an unknown base in a sample nucleic acid sequence by the steps of: inputting a first base call for the unknown base, the first base call determined from a first nucleic acid probe that is equivalent to a portion of the sample nucleic acid sequence including the unknown base; inputting a second base call for the unknown base, the second base call determined from a second nucleic acid probe that is complementary to a portion of the sample nucleic acid sequence including the unknown base; selecting one of the first or second nucleic acid probes that has a base at an interrogation position which has a high probability of producing correct base calls; and calling the unknown bas according to the selected one of the first or second nuclei acid probes.

A further understanding of the nature and advantages of the inventions herein may be realized by reference to the remaining portions of the specification and the attached drawings.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 illustrates an example of a computer system used to execute the software of the present invention;

40' 041 Henry

(A006)